



File No.: 9-13528-170US

August 14, 2002

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Nortel Networks Limited

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**Serial No.:** 09/899,265

AUG 19 2002

**Filed:** July 6, 2001

Technology Center 2100

**Title:** **POLICY-BASED FORWARDING IN OPEN SHORTEST  
PATH FIRST (OSPF) NETWORKS**

**Agent of Record:** Kent Daniels

**Tel:** (613) 780-8673

Assistant Commissioner for Patents  
Washington, D.C. 20231  
U.S.A.

Sir:

**INFORMATION DISCLOSURE STATEMENT**  
**PRIOR TO FIRST OFFICE ACTION**

Pursuant to the duty of disclosure under 37 CFR 1.56, copies of the references listed on the attached PTO Form 1449 are submitted herewith.

The Examiner is kindly requested to consider these references during the examination of the above-identified application, making the references of record, and to return an initialed copy of the PTO-1449 Form to the below-signed agent.

In accordance with 37 CFR 1.97(h), the submission of the present information is not to be construed as an admission that such information is, or is considered to be material to patentability.

Respectfully submitted,

By: K. Daniels

Kent Daniels, Registration No. 44,206  
Agent of Record  
OGILVY RENAULT  
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Encls.



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Sheet 1 of 1

Form PTO-1449 (Rev.7-80)	U.S. Department of Commerce Patent & Trademark Office	ATTY.DOCKET NO. 9-13528-170US	SERIAL NO. 09/899,265
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT Nortel Networks Limited	
		FILING DATE: July 6, 2001	GROUP

U.S. PATENT DOCUMENTS

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		Document number	Date	Country	Class	Subclass	Translation
	AL						
	AM						
	AN						
	AO						
	AP						

OTHER REFERENCES (*Including Author, Title, Date, Pertinent Pages, Etc.*)

AR	Enke Chen: Internet Draft – Address Prefix Based Outbound Route Filter for BGP-4, Expiration Date April 2001
AS	Radia Perlman: Article – A Comparison Between Two Routing Protocols: OSPF and IS-IS, dated September 1991
AT	

Examiner	Date considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	